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PATENT

Docket: 71608

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of:

Strand et al.

Serial No.: 10/706,669

Group Art Unit: 1714

Filed: November 12, 2003

Examiner: Szekely, Peter A.

For: FLAME RETARDANT POLYESTER COMPOSITIONS FOR  
CALENDERING

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(c)**

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance. Applicants would like to point out that WO 2004/060990, which is cited in one of the International Search reports, is the PCT version of the present application. Applicants also wish to point out that a full translation is being submitted for JP 2-986197; this document was provided earlier as a machine translation.

Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under §1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

07/07/2005 RFEKADU1 00000069 050221 10706669

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Docket: 71608

PATENT

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Date

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Cathy L. Adkins*  
Cathy L. Adkins

*July 1, 2005*  
Date

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+

Substitute for form 1449

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Attorney Docket Number 71608-02

First Named Inventor Strand et al.

COMPLETE IF KNOWN

Application Number 10/706,669

Filing Date 11/12/2003

Group Art Unit 1714

Examiner Name Szekely, Peter A.

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
		2003-0060542		Witt et al	03-27-2003
		2003/0171471		Pritschins et al	09-11-2003
		2003/0187149	A1	Schmidt et al	10-02-2003
		6,114,431		Lee et al	09-05-2000

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 484 818		Hoechst Celanese Corporation	01-15-1997	√
		EP	0 965 615	A1	Novamont S.p.A.	12-22-1999	√
		EP	1 529 808	A2	Riken Technos Corporation	05-11-2005	√
		EP	1 529 809	A1	Riken Technos Corporation	05-11-2005	√
		JP	2-986197		Sekisui Chemical Co., Ltd.	10-01-1999	√
		JP	4-62144		Unitika, Ltd.	02-27-1992	√
		JP	4-166309		Unitika, Ltd.	06-12-1992	√
		JP	2001-40197		Katsuta Kako KK	02-13-2001	√
		JP	2001-64496	A	Dainippon Ink & Chem. Inc.	03-13-2001	√
		JP	2001-200146	A	C.I. Kasei Co., Ltd.	07-24-2004	√
		JP	2001-214044	A	C.I. Kasei Co., Ltd.	08-07-2001	√
		JP	2002-53741	A	Riken Vitamin Co., Ltd.	02-19-2002	√
		JP	2002-294043	A	Tatsuta Chemical Co., Ltd. and Moriwaki	10-09-2002	√

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Attorney Docket Number		71608-02			
				First Named Inventor		Strand et al.			
				COMPLETE IF KNOWN					
				Application Number		10/706,669			
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Group Art Unit		1714							
Sheet	2	of	2	Examiner Name		Szekely, Peter A.			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		JP	2003-20386	A	Tsutsunaka Plastic Industry Co., Ltd.	01-24-2003	✓
		JP	2004-143353	A	Kanebo Ltd., and Kanebo Synthetic Fibers, Ltd.	05-20-2004	✓
		JP	2004-182759	A	Kanebo, Ltd., and Kanebo Synthetic Fibers, Ltd.	07-02-2004	✓
		JP	2004-238534	A	Achilles Corporation	08-26-2004	✓
		JP	2005-8771	A	Lonseal Corporation	01-13-2005	✓
		WO	01/85451	A1	3M Innovative Properties Company	11-15-2001	✓

OTHER - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		Office action dated 06/09/2005 for Serial No. 10/722,870 (80063) filed on 11/26/2003	
		International Search Report dated April 27, 2005, for PCT/US2004/041737 (80077)	
		International Search Report dated April 27, 2005, for PCT/US2004/041638 (80078)	
		Office action dated June 1, 2005 for Serial No. 10/086,905 (71399) filed on 2/28/2002	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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